

REPORTING DEVIATIONS FROM CODE OF CONDUCT PROCESS

This process is to be used to document all TNMP deviations from the Code of Conduct. A report of all deviations from the Code of Conduct must be provided in the Annual Report of Affiliate Activities.

1. Utility functional area or affiliate notifies Texas Code of Conduct Compliance Coordinator of deviation from Code of Conduct.
2. Texas Code of Conduct Compliance Coordinator completes CODE OF CONDUCT DEVIATION FORM.
3. Texas Code of Conduct Compliance Coordinator forwards form to Communications Department for posting to the Internet web site within 30 days of deviation and for a period of at least 30 days.
4. Texas Code of Conduct Compliance Coordinator reports deviation to the Commission.
5. Texas Code of Conduct Compliance Coordinator forwards entire file to the IRC for input into database for inclusion in the Report of Affiliate Activities.

Pursuant to Substantive Rule 25.272(d)(4) deviations from the Code of Conduct are only allowed for temporary employee assignments to an affiliate or non-affiliated utility to assist in resolving emergency situations affecting system reliability.

*Requirement:
Substantive Rule 25.84(h)*

